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Hypolithic Colonization of Opaque Rocks in the Arctic and Antarctic Polar Desert

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Introduction

In extreme hot and cold deserts, the underside of rocks can provide a refugium for microorganisms, both photosynthetic and non-photosynthetic (Cameron and Blank, 1965; Friedmann and Galun, 1974; Schlesinger et al., 2003). The organisms are referred to as “hypoliths” and the community as “hypolithon” (Golubic et al., 1981). In the antarctic polar desert the stones warm the hypolithic biota and, during the summer, mitigate freeze-shaw (Bready, 1981).

For photosynthetic organisms, the limiting factor for the depth of colonization around the underside of the rock is set by the depth at which light levels are below those required for photosynthesis, resulting in well defined “bands” of growth around rocks or in the case of thin rocks, complete colonization of their underside. As photosynthetic microorganisms provide a source of carbon for heterotrophic microorganisms, the hypolithic colonization of translucent rocks by photosynthetic microorganisms can benefit non-photosynthetic microorganisms (Smith et al., 2000).

All studies to date have focused on the colonization of rocks that are translucent to visible light, particularly quartz. Hypolithic colonization of quartz stones has now been documented in many locations, for example: the Vestfold Hills, Antarctica (Smith et al., 2000), the Mojave Desert, U.S.A. (Schlesinger et al., 2003), the Negev desert, Israel (Bernier and Evenari, 1978), and the Namib Desert, Africa (Budel and Wessels, 1991).

As wind transport of fine material tends to fill openings around the edges of rocks, it is generally the case that hypolithic colonization requires that the rock itself permits visible light to reach the communities on their underside. Investigations on the colonization of the underside of translucent flint in the Negev desert showed that the more translucent flint types were colonized in a greater number of instances compared to less translucent flints (Bernier and Evenari, 1978).

However, translucent or transparent rocks such as quartz are a special geologic case. Most rocks, including gneisses, igneous rocks, and sedimentary rocks such as dolomites are generally opaque in the wavelengths required for photosynthesis (400 to 700 nm). As a result, they are not usually regarded as suitable sites for hypolithic colonization (we use the term “opaque” here to mean any rock where the flux of light that penetrates through a rock of given size is lower than the minimum light level required for photosynthesis; this level is taken to be 10 μmoles m⁻² s⁻¹, based on field measurements [Littler et al., 1986] and 0.1 μmoles m⁻² s⁻¹ based on theoretical calculations [Raven et al., 2000]—in either case rocks in our field locations of greater thickness than 1 mm are opaque).

Our investigations of hypolithic colonization focused on the polar desert. Polar deserts constitute approximately 5 × 10⁶ km² of Earth’s surface, and these regions cover a substantial part of the Arctic and coastal non–ice-covered regions of Antarctica. They are regions where annual precipitation is less than 250 mm and the mean temperature during the warmest month is less than 10°C. A common feature of polar deserts is areas of frost-sorted rocks or “patterned ground” (Kessler and Werner, 2003; Sletten et al., 2003).

In this paper, we describe a large photosynthetic ecosystem in the polar desert under opaque rocks and we estimate the productivity of these communities. Our colonization and productivity measurements focus on the Arctic, but we also discuss some colonization measurements from the Antarctic.

Materials and Methods

STUDY LOCATIONS

Our study sites were split between Arctic and Antarctic locations. In the Canadian Arctic, our measurements were made on locations on Devon Island in a region bounded by the coordinates 75°23.13’–75°26.70’N and 89°54.21’–89°48.14’W and around the town of Resolute, Cornwallis Island (74°41’N, 94°54’W), (Figs. 1, 2). Measurements were made in these locations during July and August 2001.
and 2002. The rocks sampled in the Antarctic were located in a deglaciated region of Alexander Island, (71.9°S, 68.2°W) (Fig. 1). Measurements were made at this location on 18 and 19 November 2001.

MEASUREMENTS OF HYPOLITHIC COLONIZATION

Rocks were randomly selected for measurement. This was accomplished by throwing a flagged marker stone blindly over the shoulder. This methodology was important to prevent bias in the rock selection. The rock nearest to the flagged marker rock was removed from the ground and the following parameters were measured (see Fig. 3d): (a) the length of rock and width to the nearest mm; (b) the height above ground of the exposed portion of the rock; (c) the depth of penetration of rock below ground; and (d) colonization by hypolithic organisms or not (determined by eye) (in the case of colonized rocks the depth of the band of colonization was measured in three places for each rock to the nearest mm).
In the Antarctic, rocks were recorded as being either inside \((n = 100)\) or on the edges of sorted polygons \((n = 100)\). In the Arctic, the colonization patterns (inside and outside polygons as shown in Figure 3 and shown in Figure 4 as “polygons”) were recorded for a total of 100 samples at 75°25.19’N, 89°48.14’W. For all other Arctic samples \((n = 750\) on Devon and \(n = 100\) at Resolute) there was no discrimination of location within the patterned ground. Sampling sites were within polygonal terrain and large areas of randomly frost-sorted rocks.

Using the data, two parameters were calculated: (1) the mean width of the zone of colonization (band width); and (2) the estimated surface area of colonization normalized to the estimated total surface area of the rock to provide a measure of the fraction of the rock surface that was colonized.
Photomicroscopic microorganisms were carefully scraped from the arctic rocks using a sterile blade and examined under bright-field microscopy with an Olympus BX-51 universal microscope (Olympus America Inc, Melville, New York, U.S.A.) under 1000× magnification.

**TEMPERATURE MEASUREMENTS**

Measurements of temperatures in the hypolithic environment were collected in the Arctic over a one-year period using a Hobo™ data logger (Onset Computers, Bourne, Massachusetts, U.S.A.) attached to an external thermistor (Hobo™ TMC6-HB temperature sensor). A rock of dimensions 5.5 cm length × 4.2 cm width, 4.8 cm below-ground depth and 3.4 cm above-ground height was used at location 75°25'52.39"N, 89°49'28.05"W (Sample 1). The thermistor was implanted at the soil-rock interface in the region showing hypolithic growth. A second logging device was deployed in an identical way in a rock 1 m away with similar dimensions (Sample 2). The readings were within 10% of Sample 1 (data not shown). The loggers were set to record at 2 h intervals from 18 August 2001 to 11 July 2002. The temperature 5 cm above the rock (here referred to as “air temperature”) was also recorded. In the case of Sample 1, recordings of hypolithic and air temperatures were also taken at 3 min intervals from 9 to 14 August 2001.

**MEASUREMENT OF ULTRAVIOLET RADIATION AND PAR PENETRATION**

Penetration of UV radiation to the underside of the arctic rocks (using the same rocks as described for temperature measurements) was estimated using the Deutsches Zentrum für Luft- und Raumfahrt: Biofilm or DLR-Biofilm (Quintern et al., 1992; Horneck et al., 1996; Retberg et al., 1999; Cockell et al., 2001). This dosimeter uses the inactivation of a monolayer (∼5 × 10⁵ spores cm⁻²) of *Bacillus subtilis* spores to measure the biological effect of UV radiation. After exposure to UV radiation, the biofilms were incubated in nutrient broth, and the protein that was produced by the growing microorganisms (those that were not inactivated) was stained using Coomassie blue dye (Quintern et al., 1992). The absorbance at 590 nm, determined by image analysis, provides a measure of the inactivation of the spores. The inactivation of the spores was expressed as an effective irradiance at 254 nm, i.e., the dose from a laboratory 254 nm UV radiation source required to produce the same inactivation as that seen in the field on a calibration biofilm. The sheets of *Bacillus subtilis* were protected by a thin layer of UV transparent Whirlpak® (Nasco, Ft. Atkinson, Wisconsin, U.S.A.) plastic and had dimensions of 1 × 2 cm. The biofilms conform to the principal of reciprocity, whereby response is dependent upon total dose, not irradiance (Quintern et al., 1992). The method provides data on the integrated UV exposure over hours or days.

The dosimeters were carefully slotted into the hypolithic habitat at the edge of rocks with the dosimeter field pointing outward. Control dosimeters were placed on a horizontal surface near to the rock. Six dosimeters were placed around the edges of two different rocks (three dosimeters per rock). Control dosimeters were retrieved after 24 h, dosimeters in the hypolithic habitat were retrieved after 4 days. The dosimeters were deployed at 20:00 h on 11 August 2001 and retrieved at the same time on 15 August 2001. During this period of the year at the arctic location there is a 24-h photoperiod.

To determine whether the rocks might be transmitting PAR (Photosynthetically Active Radiation) through to the organisms beneath, in analogy to quartz rocks, we measured penetration through rock slices. Three 1 mm slices of dolomite from Devon Island were
prepared. The irradiance between 400 and 700 nm at 1 nm intervals was measured through a 4 mm diameter cosine corrected collector at the end of a 1 m fiber optic cable attached to an S2000 Avantes spectroradiometer (Anglia Instruments, Ely, U.K.). The collector was fixed in an upright position in a clamp. Around the edge of the collector a small amount of dried opaque sealant was fixed so that slides could be pressed down onto the sealant to prevent stray light from the sides entering the collector. Thus, only light passing through the slide was measured. On a clear day when incident light levels were constant over an interval of several minutes, three control scans were obtained of the solar spectrum under a glass slide. Immediately afterward the three rock sections were placed over the collector with the rock section facing down on the collector, and spectral scans were obtained. A control scan was taken again to verify the constancy of the solar spectrum during the measurements. The mean of the scans at 1 nm intervals was calculated.

MEASUREMENT OF PRODUCTIVITY

We estimated the productivity of hypoliths in the arctic location. Productivity was measured using sodium bicarbonate. We added 18.5 MBq of bicarbonate (ICN Biomedicals, Canada) (2.1 GBq mmol⁻¹) to 10 mL of water obtained from the edge of a sorted polygon. Rocks at location 75°26.36'N, 89°50.22'W were carefully removed and 100μL of 14C-bicarbonate was brushed onto an area of 1 cm² on each of six rocks for each incubation and the area was marked using a pencil. Incubations were run for 5 min, 20 min, 1 h, and 2 h from 13:30 to 15:30 on 16 July 2002, with all incubations finishing at 15:30 and in triplicate. Dark controls were run by covering rocks in foil and were run in triplicate. The rocks were carefully replaced into their holes. The temperature of the ground during the incubations was 6°C. After the incubation period, the biomass was carefully removed from the rock using a scalpel and placed into a 5 mL scintillation vial (ICN Biomedicals, California, U.S.A.). To each vial, 200 μL of acetic acid (Sigma-Aldrich Corp., St Louis, Missouri, U.S.A.) was added to drive off excess bicarbonate. The biomass was dried and the samples were returned to the laboratory. Five mL of Ecolome scintillation fluid (ICN Biomedicals, California, U.S.A.) were added, and the disintegrations per minute in each vial were determined in a scintillation counter (Packard 1600CA, Packard Instruments, California, U.S.A.) using an instrument efficiency of 88%. Uptake of bicarbonate was linear up to 2 h. Dark controls gave values less than one-tenth of the light-exposed samples. The mean (± s.d.) background Dissolved Inorganic Carbon (DIC) concentration for these regions of the Arctic is measured as 16.7 ± 3.5 mg L⁻¹ (Lim and Douglas, 2003) and was used to calculate 14C carbon uptake in the samples. The isotope discrimination factor was taken as 1.022. To estimate annual productivity of the hypolith, we assumed that productivity of the value that we measured for the 20 min incubation occurs for 5 h in the day around midday. (Our 20 min incubation was from 15:10 to 15:30 and solar noon is at approximately 13:00. Thus, if we assume that productivity at the level we measured stops at 15:30, but occurs at this level from solar noon until this time, this would be a conservative estimate of the period of productivity.) We assume there are 45 days of the year when productivity can occur (from mid-July to the end of August), based on the number of days when temperatures at noon were above freezing. We assume that the carbon uptake equates to the increase in biomass, which will make our estimates of the productivity of the hypolithon an underestimate. However, comparison with the work of Bliss et al. (1984) requires carbon losses in respiration to be considered, which might yield a net primary productivity lower than gross primary productivity. To calculate the productivity per m² of ground, we estimated the surface area of hypolithic colonization per m² of above-ground area by taking a mean rock size and the mean band width. The hypolithon was estimated to cover one-tenth of the above-ground area in the regions studied.

Results

HYPOLITHIC COLONIZATION

The percentage of rocks colonized in the arctic sites (no discrimination of patterned ground type) was 95% on Devon Island and it was 94% on Cornwallis Island. The mean normalized surface area of colonization was 0.16 for Devon Island (n = 750) and 0.15 for Cornwallis Island (n = 100). The mean (± s.d.) band width of the hypolithic communities was 3.1 ± 1.9 cm on Devon Island and 3.0 ± 1.6 cm on Cornwallis Island (Fig. 4).

For the Arctic polygons, the percentage of rocks colonized at the edges of polygons was 100%. The value within the polygons was 68%. The mean normalized surface areas inside and outside were 0.06 and 0.12, respectively (Fig. 4). The mean (± s.d.) band widths within and outside the polygons were 0.7 ± 0.8 and 3.6 ± 1.4 cm, respectively.

For the Antarctic location, the percentage colonization at the edges of polygons was 100%, the value within the polygons was 5%. The mean normalized surface areas within and outside were 0.05 and 0.12, respectively. The mean (± s.d.) band widths inside and outside the polygons were 0.7 ± 0.1 and 2.1 ± 0.3 cm, respectively.

The width of the bands of hypolithic colonization was not found to be statistically different between the Arctic and Antarctic locations (unpaired t tests, t = 0.53, P < 0.05).

MICROSCOPY

Cyanobacteria observed in the arctic hypoliths included Gloeocapsa cf. atrata (Kützing), Gloeocapsa cf. punctata (Nägeli), Gloeocapsa cf. kuetzingiana (Nägeli), Aphanothece- and Aphanocapsa-like cells, and Chroococcidiopsis-like cells. Various filamentous forms were observed, primarily Oscillatoriales, similar to Leptolyngbya and Scytonema. Unicellular algal chlorophytes were observed. These organisms were collected from the underside of rocks with no discrimination of depth.

TEMPERATURE MEASUREMENTS

The lowest air temperature recorded was −45.3°C on 25 February 2002 (Fig. 5a). From 16 June to 11 July 2002, when light was available for photosynthesis and mean daytime temperatures were above freezing, the mean air temperature was 4.1°C and the mean temperature in the hypolithic habitat was 3.5°C. Diurnal differences in the air and hypolithic temperature regimen are observed (Fig. 5b). Temperatures in the hypolithic habitat lag behind the air temperatures because of the thermal inertia of the rock. The heat retained in the rock is also sufficient to maintain higher temperatures in the hypolithic habitat during the times of lowest air temperatures. On 14 August this was sufficient to prevent freeze-thaw in the hypolithic habitat when the air temperature was −1.1°C.

ULTRAVIOLET RADIATION EXPOSURE AND PAR PENETRATION

After 24 h, the spores in the control dosimeters were all killed. The effective equivalent dose at 254 nm was greater than 200 J. However, spores in the hypolithic habitat showed no measurable inactivation after 4 days.

There was no measurable penetration of PAR through the 1 mm sections of dolomite.

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temperatures for the hypolithic habitat from 9 to 14 August 2001. Temperatures from 18 August 2001 to 11 July 2002. (b) Temperatures recorded in the arctic location. (a) Air temperature. (b) Hypolith temperature.

FIGURE 5. Temperatures recorded in the arctic location. (a) Air temperatures from 18 August 2001 to 11 July 2002. (b) Temperatures for the hypolithic habitat from 9 to 14 August 2001.

**PRIMARY PRODUCTIVITY**

Linear uptake for $^{14}$C-bicarbonate was observed until 2 h when saturation had occurred. We took 20 min incubation as the basis for our productivity calculations. The mean of six incubations was 28773 ± 15521 dpm. The assumptions we made on productivity (described in Methods) led to an estimated mean carbon uptake of 7.7 g m$^{-2}$ a$^{-1}$. The hypolithic cover per m$^2$ of ground area is estimated to be 0.1 m$^2$, leading to an estimated hypolithic productivity of 0.8 ± 0.3 g m$^{-2}$ a$^{-1}$.

**Discussion**

Frost-sorted ground is a ubiquitous feature of polar deserts. The movement of rocks by periglacial processes creates openings around the edges of sorted rock features (Hallet and Prestrud, 1986; Marchant et al., 2002; Sletten et al., 2003; Kessler and Werner, 2003). This process has important biological implications because, as we show here, by creating openings around the edges of rocks, periglacial processes allow opaque rocks to be colonized on their underside by photosynthetic microorganisms.

The productivity of this below-ground ecosystem is potentially very high as our preliminary investigations suggested (Cockell and Stokes, 2004). The productivity values we measure are subject to some necessary estimates, such as the period of time when net productivity occurs. However, our values suggest that the below-ground primary productivity of the hypolithon could be substantial during the summer. Bliss et al. (1984) estimated the above-ground productivity of arctic polar deserts accounted for by plants, lichens, and bryophytes. Their values range from 0.07 to 1.70 g m$^{-2}$ a$^{-1}$. The values they measured for Devon Island had a mean of 1.0 ± 0.38 g m$^{-2}$ a$^{-1}$ (Bliss et al., 1984). The values we obtain are the same order of magnitude to these values and suggest that the hypolithon is an unappreciated source of primary productivity in the polar desert, potentially contributing at least as much to carbon sequestration as above-ground biomass in the regions in which we recorded its presence.

The hypolithon associated with polar desert terrain is a widespread habitat because, in contrast to the colonization of quartz rocks, the habitat does not presume any geologic attributes of the rock, other than it is not toxic. Thus, potentially any substrate can support hypolithic colonization. The more extensive colonization of the edges of well-defined sorted polygons and their larger band widths compared to the interiors of polygons, where finer soil is sorted around the edges of rocks, suggests the importance of sorting in facilitating light penetration by creating openings around rocks at the edges of polygons. The edges of stone borders not only have larger spaces between the rocks in contrast to their centers, but they are also subject to greater cryoturbation compared to the relatively quiescent centers, thus potentially exposing surfaces for colonization at a faster rate than the centers. These findings show that an otherwise highly biologically detrimental stressor in the polar regions—freeze-thaw—is beneficial for photosynthetic microorganisms by greatly expanding their available habitat.

The photosynthetic organisms we found in the arctic habitat were mainly cocccid cyanobacteria. Similar cocccid, *Chroococcidiopsis*-like cells and filamentous species grouping with *Lyngbya/Phormidium*/*Plectonema* were reported by Smith et al. (2000) and Broady (1981) in Antarctic hypolithic communities. Broady reported more than 17 taxa of algae in antarctic hypoliths. We also found *Gloeocapsa* species to be abundant within this habitat.

Our environmental data show that the habitat provides significant advantages to these organisms compared to living on the surface of the rocks. UV radiation damage is greatly reduced and in some instances freeze-thaw is mitigated. Concomitantly, however, the photosynthetically active radiation must be reduced compared to living on the surface.

The hypolithic habitat can provide improvements in water availability compared to the surface. We noted that often the underside of the rocks was wet. Meltwater tends to drain around the edges of polygons, whereas the surface of the rocks was often wind-dried. The undersides of quartz stones in Antarctica were found to be moister than the surrounding soils (Smith et al., 2000). The higher water availability in the Antarctic was found to result in a higher count of heterotrophic (organic-utilizing) bacteria under the rocks compared to the surrounding soils (Smith et al., 2000). It is also likely that the photosynthetic productivity of the cyanobacteria contributed to the increased biomass of the heterotrophs by supplying organics.

The air temperatures that we measured in the summer were on average higher than the temperatures in the hypolithic environment. These data are in contrast with those reported by other workers. Quartz stones in the Vestfold Hills, Antarctica, could provide temperatures 10°C in excess of ambient air temperatures (Smith et al., 2000). Similarly, the underside of quartz rocks in the Negev desert was elevated above air temperature (Berner and Evenari 1978), and in these hot deserts, temperatures under stones was sometimes greater than 50°C, potentially increasing the stress experienced by the hypolithon.
We explain our observations by the fact that the rocks are embedded into ground close to polar ground ice. In this region of the Arctic the active layer is approximately 40 cm deep. When air temperatures transiently rise at midday, the underside of the rocks is maintained at the lower temperature by the cooled ground and, unlike quartz, infrared radiation is not readily transmitted through the opaque rock. Conversely, however, when air temperatures transiently drop below freezing, the rocks tend to be buffered by the ground temperatures and can, in rare instances, protect against freeze-thaw. One similarity between the antarctic and arctic communities is that during the dark polar winter the hypoliths are subjected to long periods of deep freeze, when lack of light and freezing temperatures will render them metabolically inactive.

The depth of colonization of the rocks is likely to be set by the minimum light levels required for photosynthesis. This is analogous to the way in which the lower depth of colonization of quartz rocks is set by their translucence. Berner and Evenari (1978) showed that colonization under flint stones in the Negev desert was correlated to their transparency. More opaque flints had two times less colonization in terms of number of rocks colonized than the more transparent flints.

Vogel found that 0.06% of incident light penetrated into quartz rocks at a depth of 25 mm (Vogel, 1955). Less than 0.01% of incident light penetrated to below 40 mm in quartz rocks in the Negev (Berner and Evenari, 1978), and light was reduced to 0.08% of incident under 25 mm of quartz rock from the Mojave desert (Schlesinger et al., 2003). At these depths colonization became limited. Broady found similarly large attenuations in Antarctic quartz rock (Broady, 1981). Raven et al. estimated the minimum light required for photosynthesis to be 0.1 μmol m⁻² s⁻¹ (Raven et al., 2000), such that the reduction of visible light to approximately 0.06% of ambient (assuming it to be ~2000 μmol m⁻² s⁻¹ at midday) would be sufficient to extinguish photosynthesis, consistent with the observations reported for colonization of quartz rocks. In our studies, the hypothesis that light limitation is also responsible for determining the area of colonization is supported by the fact that where colonization does occur in the interior of the polygons, where the rocks are surrounded by fines, the depths of the bands of colonization and the surface areas are about half of that at the edges of the polygons. In some rocks at the edges of the polygons, where the openings around the rocks are large, the entire underside of the rock can be colonized. This accounts for the large range values in the band widths that we measured.

In regions of polygonal terrain, existing colonized polygons must act as a source of propagules for newly formed polygons. We speculate that colonization of the underside of the rocks occurs when organisms are carried by meltwater during the spring from one rock to another. Rocks that become exposed by wind scouring as a result of sorting might also cause the wind dispersal of the organisms that then land on the ground and get carried by meltwater to the underside of rocks.

The implications of climate change for these communities are uncertain. The warming of the Arctic, for instance, might reduce periglacial processes and reduce habitat availability for hypolithic communities. Although this could reduce their productivity and thus their contribution to carbon sequestration, a concomitant increase in above-ground vascular plant cover might cancel, or even exceed, this reduction. As these relative changes are likely to be complex, it is clear that hypolithic communities deserve greater attention in estimating the flux of carbon in arctic ecosystems.

Conclusions

Periglacial processes can cause movements in rocks, which in turn create openings around the edges of rocks that allow the penetration of photosynthetically active radiation to the underside of rocks. These processes allow for the colonization of the underside of opaque rocks by photosynthetic organisms. Our preliminary measurements suggest that the productivity of these communities may be as high as the above-ground productivity accounted for by plants. More widespread measurements would allow for more accurate comparisons that take into account differences in hydrology and nutrient flow between above-ground locations and hypolithic habitats. These data reveal the importance of freeze-thaw in generating new habitats for polar microbial life. The data suggest that climate warming could reduce the availability of these habitats by affecting the extent of periglacial processes.

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